#### 1 Abstract

- 2 The study aims to evaluate the levels of trace and heavy metals among chronic obstructive
- 3 pulmonary disease (COPD) patients with acute exacerbation and their impact on the severity
- 4 and mortality of the disease.
- A total of 114 patients with acute exacerbation and 100 healthy volunteers participated in this
- 6 study. COPD patients are divided into 4 groups according to Global Initiative for Chronic
- 7 Obstructive Lung Disease (GOLD) classification. Analysis of heavy metals (lead, cadmium,
- 8 arsenic, cobalt, nickel, mercury, aluminum, calcium, and manganese) and trace metals
- 9 (copper, chromium, and zinc) was performed using a plasma mass spectrometer.
- Body mass index was lower in COPD exacerbation patients (p < 0.05) in comparison to the
- control. In comparison with control group, the levels of heavy metals were greater in COPD
- cases (p < 0.001). Al, Ca, Co, Ni, Cu, As, Cd, and Hg levels of GOLD group 4 were found to
- be higher compared to GOLD Group 1 (p < 0.001). Likewise, the Mn level was found higher
- in GOLD Group 1 (p < 0.05). However, the level of Zn was lower in GOLD group 4 in
- 15 comparison with GOLD 1 cases (p < 0.001). The factors for the prediction of the disease in
- the COPD patient group were determined using multivariate regression analysis. Al, Ca, Mn,
- 17 Co, As, and Hg was determined to be independent risk factors in predicting COPD
- exacerbations (p < 0.05). In comparison to the control group, Al, Co, Pb, Ni, Hg, and Cd
- levels were higher in COPD exacerbations that resulted in mortality (p < 0.05). Co, Cd, Hg,
- 20 and Pb were determined to be independent risk factors for mortality in COPD exacerbation
- 21 cases (p < 0.05).
- 22 Our study showed that serum heavy metal levels are linked with the harshness and mortality
- of acute COPD attacks. These findings may indicate that changes in serum heavy metal levels
- 24 can be used to determine the severity of a COPD exacerbation.

**Keywords:** COPD, heavy metal, trace elements, mortality

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#### INTRODUCTION

28 Due to its high prevalence, increasing incidence, and severe personal, social, and economic costs around the world, chronic obstructive pulmonary disease (COPD) is a serious public 29 30 health concern (Vikjord et al., 2022; Halpin et al., 2019). When the disease burden and mortality due to COPD are examined, great differences are observed between countries and 31 32 even between different social groups within the same country (Marmot et al., 2019). COPD has become a disease that can be seen in young people and women due to environmental 33 exposures such as the health effects of air and environmental pollution (Agusti et al., 2020; 34 Agustí et al., 2019). Despite the rapid advances in technology, our knowledge of the 35 underlying pathobiological mechanisms of COPD is still limited (Dransfield et al., 2019). 36 Biomarkers with potential benefits are needed in the diagnosis and prognosis of COPD 37 exacerbation (Corradi et al., 2009). Previous works showed that heavy metals induce the 38 pathogenesis of COPD through uncontrolled oxidative stress and chronic inflammation 39 (Bertin et al., 2006; Cohen et al., 2002). It should also be noted that DNA repair and 40 disruption of barrier mechanisms may also contribute to this process (Kirschvink et al., 2006). 41 42 In one study in Korea, researchers found a significant relationship between obstructive lung disease and concentrations of lead and cadmium in serum (Kim et al., 2015). In this work, we 43 assessed the potential relationship between heavy metal levels (Al, Cd, Pb, Cr, Ca, Mn, Co, 44 Ni, Cu, As, Hg, Zn) and the severity of attacks and lung function using the COPD GOLD 45 classification. We also examined the relationship between mortality in COPD patients and 46 heavy metal levels. 47

#### 48 MATERIAL AND METHOD

#### 49 Study design

The research is a descriptive study to evaluate the 114 patients with acute exacerbation form 50 of COPD and 100 healthy subjects with no COPD with acute exacerbation (control group) 51 52 who applied to Yozgat Bozok University Research Hospital Emergency Department. The participants aged between 18 years and over with COPD with acute exacerbation were 53 included in the experimental group. The patient group was divided into 4 groups according to 54 GOLD classification. 30-day mortality was determined through hospital records and the e-55 pulse system. The co-morbidities, active smoking, biochemical blood values, and heavy 56 metal levels of the patients were recorded. COPD staging is divided into GOLD 1, GOLD 2, 57 58 GOLD 3, and GOLD 4 classes according to the postbronchodilator (GOLD) FEV1 system 59 classification, presenting with COPD exacerbation. An expected FEV1 in GOLD 1 after 60 postbronchodilator, FEV1  $\geq$  80% indicates mild airflow limitation. In GOLD 2, the expected FEV1 50% ≤ FEV1 < 80% indicates moderate airflow limitation. In GOLD 3, a 30% ≤ FEV1 61 < 50% indicates severe airflow limitation, and in GOLD 4, an FEV1 < 30% indicates serious 62 airflow limitation. Control group includes participants without any COPD with acute 63 exacerbation and any chronic disease. Medical histories, age, and gender were recorded. All 64 subjects filled out a consent form to participate in this study. The permission for our work was 65 received from the committee of clinical research ethics of Yozgat Bozok University (decision 66 67 number 2017-KAEK). Descriptive and sociodemographic characteristics such as age and gender were used as a data collection method. 68

#### Sample Collection

- 70 Blood samples were collected for Lead (Pb), Mercury (Hg), Arsenic (As), Cadmium (Cd),
- 71 Cobalt (Co), Nickel (Ni), Zinc (Zn), Copper (Cu), Aluminium (Al), Calcium (Ca), Manganese
- 72 (Mn) and Chrome (Cr) levels measurements. 1 mL of each sample was moved to

polypropylene tubes and we added 5 mL of nitric acid (Suprapur®, 65%), 2 mL of hydrogen peroxide, and 3 mL of ultrapure water to samples, respectively. The tubes hold 24 hours at room temperature for digestion samples and are completed with 20 mL of ultrapure water. We used Turksoy et al. developed method and optimized it for preparing the samples for analysis (Turksoy et al., 2019).

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#### Laboratory analysis

80 We used mass spectrometry with inductively coupled plasma (Thermo Scientific, USA) using 1550 W power, 0.86 L/min plasma gas, 0.95 L/min nebulizer gas, 2.99 bar nebulizer pressure, 81 3.4 °C spray chamber, and 0.01 milliseconds dwell time to the measurement of twelve metals 82 (Pb, Cd, As, Co, Ni, Hg, Zn, Cu, Al, Ca, Mn and Cr) in samples. The sampler probe was 83 washed with the three steps between injections: (1) ultrapure water for 30 s (rinsing) and (2) 84 nitric acid (2%) for 45 s (washing), (3) ultrapure water for 45 s (rinsing). To determine the 85 level of each metal, we used an 11-point calibration curve (0.1-250 µg/L). 0.9990 (for all 86 87 metals) was found as the minimum r2 value in the calibration curves.

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#### Validation of methods

We repeated the standard and sample measurements five times to increase the accuracy of the results and lower the relative standard deviation (<5%). We used Whole Blood L-1 Standard Reference Material (Seronorm<sup>TM</sup> Trace Elements, Norway) for the validation method. The  $100 \,\mu\text{g/L}$  of Hafnium was used for the internal standard. The intra and inter-day precision of Standard Reference Materials based on the standard deviation of replicates was utilized for the quality control method.

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## Statistical analysis

- The IBM SPSS Statistics 20.0 program was used for the analysis of data (Chicago, IL, USA).
- 99 We used descriptive statistics (frequencies, ratios, mean and median) and measures of
- 100 distribution (standard deviation and minimum-maximum) for the demographic characteristics
- of the participants. Whether the data showed normal distribution or not was evaluated with the
- 102 Kolmogorov-Smirnov test. We used Spearman or Pearson correlation analysis for
- 103 relationships between groups. For data without normal distribution, we performed non-
- parametric tests (Mann-Whitney U and Kruskal Wallis). We used multivariate logistic
- 105 regression analysis to specify risk factors for severity and mortality in COPD cases and
- determine of type of heavy metals for independent risk factors.

#### Results

- The study consisted of 100 healthy volunteer controls and 114 patients with acute
- 109 exacerbation of COPD. Table 1 shows the demographic information of the COPD cases. BMI
- in COPD patients was lower in comparison to the control group (p < 0.05). Compared to the
- control group, levels of As, Al, Cd, Co, Ca, Cr, Mn, Ni, Cu, Hg, and Pb were found to be
- statistically increased in COPD patients (p < 0.001) (Table 2). The level of Zn showed an
- insignificant difference in comparison to the control groups (p=0.489). The distribution of
- age, BMI, FEV, and vital values in COPD cases according to the GOLD classification is
- shown in Table 3. The distribution of heavy metal levels in COPD cases according to the
- 116 GOLD classification is shown in Table 4. Especially, Al, Ca, Co, Ni, Cu, As, Cd and Hg
- levels of Group 4 were higher compared to Group 1 (p < 0.001). Likewise, the Mn level was
- found to be increased (p < 0.05). However, Zn levels were lower in group 4 versus group 1 (p
- 119 < 0.001). The predicting factors in the COPD patient group were determined using</p>

multivariate regression analysis. Al, Ca, Mn, Co, As, and Hg heavy metals were determined 120 to be independent risk factors in predicting COPD exacerbations (p < 0.05) (Table 5, Figure 121 122 1). The correlation analysis of heavy metals with mortality is shown in Table 6. Cd, Al, Co, Ni, Pb, and, Hg levels were increased in COPD exacerbations that resulted in mortality 123 compared to the control group (p < 0.05). Multivariate regression analysis was performed for 124 mortality in COPD patients. Co, Pb, Cd, and, Hg were determined to be independent risk 125 factors for mortality in COPD cases (p < 0.05) (Table 7, Figure 2). 126 DISCUSSION 127 In this work, we found that the levels of heavy metals (Pb, Cr, Al, As, Ca, Ni, Hg, Mn, Co, 128 Cu, and Cd) were higher in the serum of individuals with acute exacerbation of COPD in 129 130 comparison to the control group. At the same time, we found that serum heavy metal (Al, Ca, Mn, Co, Ni, Cu, As, Cd, Hg) levels increased with the severity of the disease. With a 131 reduction of Zn levels in serum, the severity of the disease shows an increased manner. 132 Moreover, in COPD patients with acute exacerbation, higher levels of Co, Cd, Hg, and Pb in 133 serum are independent risk factors for mortality. 134 Cellular toxicity due to cadmium has been investigated under different headings such as DNA 135 and membrane-functional changes, metalloenzyme interference, thiol protein changes, energy 136 metabolism inhibition, and increased oxidative damage (Chen et al., 2009; Kirmizi et al., 137 2020). It is also well known that cadmium, an environmentally toxic substance, causes many 138 respiratory diseases in humans (Leem et al., 2015; Zeng et al., 2016). A previous study 139 140 showed that high concentrations of serum cadmium were correlated with reduced pulmonary 141 function (Oh et al., 2014). Studies on the correlation between cadmium exposure and COPD have produced mixed findings. In another study based in China, no correlation was found 142 between serum cadmium levels and lung function in healthy children without COPD (Pan et 143

al., 2020). In comparison with the control group, we observed higher serum cadmium levels 144 in COPD cases. Again, in our study group, the serum cadmium levels of the patients in the 145 146 gold 3 and gold 4 groups were found to be significantly higher than those of gold 1 and 2. These results we obtained to support the inverse relationship between serum cadmium levels 147 148 and lung functions. In addition, in the study of Ya-Lin Jiang et al., they argued that serum cadmium concentration in COPD patients showed a positive correlation with inflammation 149 150 (Jiang et al., 2022). Considering that the severity of inflammation increases with the severity of the disease, the higher serum cadmium levels of the patients in the gold 3 and gold 4 151 152 groups in our study support this result. 153 As a toxic heavy metal, mercury depletes glutathione (GSH) and causes oxidative stress and 154 severe endothelial cell dysfunction, and leads to different lung diseases, such as bronchitis and pulmonary fibrosis (Tchounwou et al., 2003). In a study, it was observed that FEV 1 after 155 156 bronchodilator decreased with increasing mercury concentrations (Heo et al., 2017). In our 157 study, higher serum mercury levels were detected in the patient population in the acute COPD 158 exacerbation gold 4 group. At the same time, one of the independent mortality risk factors 159 was mercury levels. These results may indicate that mercury exposure may have severe clinical consequences. Arsenic (As) is a metalloid commonly found in soil and groundwater 160 (Fatoki et al., 2022; Roy et al., 2020). Arsenic exposure, though not directly with COPD, has 161 been strongly associated with decreased lung function and respiratory disease mortality in 162 163 adults (Parvez et al., 2013; Sanchez, et al., 2018). Exposure to lead is linked to reduced lung function and a high risk of COPD (Leem et al., 2015, Gogoi et al., 2019). Chromium is also a 164 metal associated with adverse effects on respiration, which is known to cause lung damage 165 and cancer (Novey et al., 1983). The data obtained from your study show that high serum As 166 167 levels increase with the severity of the disease, but Pb and Cr levels are not associated with the severity of the disease. 168

Cu is an important metal for many cellular functions such as antioxidant activity, iron transport, and collagen synthesis (Robinson et al., 2013). Higher levels of Cu increase inflammation and oxidative stress (Guo et al., 2013). Conversely, it is documented that in inflammation-related Peyronie's disease the level of serum Cu is low (Gunes et al., 2013). In another study conducted on patients with COPD, high serum Cu levels were found in the patient group with acute COPD attacks (Tanrikulu et al., 2011). Similarly, in cases with acute exacerbation, the levels of Cu in serum are high in our work. In addition, according to the gold classification, we found an increase in the serum Cu levels of the patients as the clinical severity of COPD exacerbation increased. In light of our findings, elevated serum copper levels may be an indicator of inflammation resulting from clinical aggravation of the disease. Again, in a study conducted on rheumatoid arthritis (inflammatory disease) patients, it was observed that copper levels were higher in serum (Önal et al., 2011). It was reported that in patients with a critical situation the level of Zn decreased, especially in patients with sepsis (Mertens et al., 2015). In another work, Zn levels were low in critically ill patients with COPD (Karadag et al., 2004). In our investigation, the levels of Zn in serum are the same in both groups. However, in our patient group, levels of serum Zn were lower in patients with a more severe clinical picture (grade 2-3-4) according to the gold classification compared to milder patients (grade 1). This may indicate that zinc deficiency may cause more severe COPD exacerbations. In previous studies, it is known that the antioxidant enzyme superoxide dismutase contains Zn in its structurally active part (Chuapil et al., 1976, Huang et al., 1977). In line with the findings of our study, the possible excessive use of oxidantantioxidant systems in patients with clinically severe acute COPD may have been effective in the reduction of zinc due to the use of zinc by those systems.

#### Limitations

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- 193 This study has some limitations. First of all, the clarification of the cause-effect relationship
- between lung function and serum heavy metal levels is hard, since it is a case-control study.
- 195 Secondly, no information about exposure (high dietary intake, smoking history, occupation,
- 196 etc.) was obtained from the patients. We tried to examine the association between serum
- levels of heavy metal and pulmonary functions, regardless of any exposure. The relatively low
- number of patients can be counted as one of the limitations of this study.

#### Conclusion and future perspectives

- Our study showed that serum heavy metal levels are linked with the harshness and mortality
- 201 of acute COPD attacks. These findings may indicate that changes in serum heavy metal levels
- can be used to determine the severity of a COPD exacerbation. However, future studies with
- 203 larger patient groups will be useful in clarifying the predictive role of heavy metals levels in
- serum as markers of disease status.

### 205 Conflict of Interest

The authors declare that they have no conflict of interest.

#### 207 Acknowledgment

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